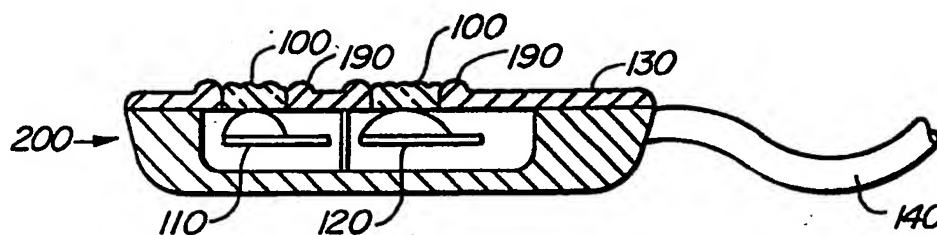




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US91/02330</p> <p>(22) International Filing Date: 4 April 1991 (04.04.91)</p> <p>(30) Priority data: 504,235 4 April 1990 (04.04.90) US</p> <p>(71) Applicant: NELLCOR INCORPORATED [US/US]; 25495 Whitesell Street, Hayward, CA 94545 (US).</p> <p>(72) Inventors: MANNHEIMER, Paul, D. ; 2619 Read Avenue, Belmont, CA 94002 (US). RISTON, Carl ; 1537 Montelano Drive, San Jose, CA 95120 (US).</p> <p>(74) Agent: HARGROVE, Keith, L.; Townsend and Townsend, One Market Plaza, 2000 Steuart Tower, San Francisco, CA 94105 (US).</p>		<p>(81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, I (European patent), NL (European patent), SE (European patent).</p> <p>Published <i>With international search report.</i></p>

(54) Title: IMPROVED PERINATAL PULSE OXIMETRY PROBE



## (57) Abstract

A fetal pulse oximetry probe (200) has clusters of light-transmissive bumps (100, 300) over the light source (110) and the light detector (120) on the surface of the probe. The probe is usually attached to the fetus's head. The clusters part the fetal hair and penetrate other light-attenuating organic materials on the head. The clusters thus transmit a more intense light signal. To reduce the amount of the signal-shunting between them, the clusters also may be separated by additional opaque (light-shielding bumps (190).

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IMPROVED PERINATAL PULSE OXIMETRY PROBEBackground of the Invention

The present invention relates to transreflectance-type fetal pulse oximetry probes.

"Transreflectance" probes attach to a single  
10 physiological surface. Their light source and detector need not lie on opposite sides of the pulsatile tissue. "Transmission" probes, by contrast, monitor signals across pulsatile tissue, for example signals passing from the dorsal to the volar surface of a finger. The  
15 invention relates to improvements for transreflectance probes, especially to enhance the light signal in the presence of fetal hair or other light-attenuating materials when the probe is attached to the head.

Application serial no. 264,196, here  
20 incorporated by reference, describes various embodiments of an improved perinatal pulse oximetry probe. The function of the probe and the nature of pulse oximetry are discussed in that application and will not be repeated here. The application  
25 particularly refers to "curved surface portions"

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through which the optical signals pass. When brought into contact with the fetal tissue, these curved portions create a dimple in the tissue surface.

The dimple helps prevent light from shunting  
5 between the source and the detector without passing through blood-perfused tissue. It also improves the contact between the probe and the fetal tissue. Nonetheless the oximetry readings, if made on the head, may be inaccurate or inconsistent due to low light  
10 levels, i.e., poor signal-to-noise ratio. The optical signal levels may be attenuated by intra-uterine materials like blood, dead cells, mucous, vernix caseosa, or fetal hair. These materials and fetal hair collectively will be referred to as "substances."

15

#### Summary of the Invention

The purpose of the present invention is to improve the accuracy and consistency of the readings, especially in the presence of substances, by increasing the optical signal levels.

20

Various methods may be used to increase signal levels by intensifying the emitted light from the probe and increasing the sensitivity of the detector. However, substances like hair may significantly lessen the amount of light passing  
25 between the probe and the skin and consequently decrease the signal-to-noise ratio.

To overcome this problem, the invention reduces the amount of substances between the probe and the fetal tissue. In the probe of the prior  
30 application, the curved surface portions or bumps improve the signal. The apex of the bump pushes aside the substances and comes to rest on the scalp (or close to it). The clusters in the present invention more effectively penetrate the substances on the head.

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The clusters "scrub" aside the substances better than does the single bump of the prior invention. Clusters also are more effective when used over larger surface areas of the source and detector.

5 A single bump, if too flat, would not effectively part the fetal hair and penetrate the other light-attenuating materials. But if too high, a single bump would hold the probe too far above the fetal tissue.

The present invention therefore uses clusters  
10 of bumps over the source, the detector, or both. The bumps in the clusters are sufficiently pointed to penetrate the substances. The action of these bumps is much like combing. Like the teeth of a comb, the bumps penetrate a wad of hair or other material. When the  
15 probe, biased toward the head, is slid back and forth, the "comb" also moves aside substances caught underneath its "teeth" (i.e., the bumps). As the probe slides back and forth the substances are forced away from the apex of the bumps. The spaces between the  
20 bumps receive the substances that are pushed aside.

It is an object of the invention to provide a cluster of bumps on a fetal oximetry probe to part the fetal hair and penetrate other light-attenuating materials to provide a relatively unobstructed light  
25 path between the probe and the skin, thus raising the optical signal level.

#### Brief Description of the Drawings

In all drawings, like parts are designated by like reference numbers.

30 Fig. 1(a) is a cross-sectional view of an embodiment of the probe of the present invention.

Fig. 1(b) is a top view of the probe of Fig. 1(a).

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Fig. 2(a) is a top view of a cluster of bumps.

Fig. 2(b) is cross-sectional view of a cluster of bumps.

5 Fig. 3(a) is a cross-sectional view of an alternative embodiment of the probe of the present invention, showing opaque bumps.

Fig. 3(b) is a top view of the probe of Fig. 3(a), showing opaque bumps.

10 Fig. 4 is a cross-sectional view of an alternative embodiment of the cluster of bumps, using optical fibers.

#### Detailed Description of the Embodiments

Several embodiments of the present invention  
15 are described and shown in the drawings.

Fig. 1(a) shows a perinatal pulse oximetry probe 200 that includes light source 110, detector 120, and cord 140. The probe can be made of ABS plastic or any bio-compatible flexible or inflexible material.  
20 Source 110 and detector 120 are covered by light-transmissive bumps 100. Opaque surface 130 covers the probe 200. Fig. 1(b) shows the clusters 220 of bumps 100. Cord 140 connects probe 200 to a power source.

Fig. 2 is an enlarged view of clusters 220 of  
25 bumps 100. These clusters cover source 110 and detector 120 of Fig. 1. The bumps 100 are separated by spaces 150. The spaces are non-raised areas of the probe's surface.

In Fig. 2(a) the distance 160 is identified  
30 as measured between the centers of any two adjacent bumps 100. In one embodiment this distance is .053 inches. Measurements 230 and 240 are the length and width, respectively, of the clusters. The size and shape of the source and detector can vary. In the

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preferred embodiment the source is covered by seven bumps and is .15 inches x .17 inches; the detector is covered by thirteen bumps and is .165 inches x .30 inches.

5           As is conventional in pulse oximetry, source 110 provides light of two different wavelengths (red and infra-red). To further intensify the signal, this particular embodiment uses a light source having three light-emitting diodes that generate the necessary red  
10 and infra-red wavelengths (660 and 900 nanometers, respectively).

          The red wavelength especially is attenuated by darkly pigmented hair. The thickness of the hair also attenuates the signal. Such interference can  
15 cause too great a variation in the ratio of red to infra-red signals. The applicants have observed red-to-infrared transmission ratios of 1:4 for lightly pigmented hair, and as little as 1:150 for darker pigments. Using clusters of bumps to provide a  
20 relatively less obstructed path makes the ratio more consistent.

          In Fig. 2(b) measurement 170 is the height of each bump 100 (its radius, if hemispherical). One embodiment has bumps with a radius of curvature of .024  
25 inches and an overall height of .033 inches. Curve 180 is the arc, or radius of curvature, of each bump 100. The bumps can be non-hemispheric, for example parabolic, conical, or domed. The bumps are shown as uniform, but their individual dimensions and shapes may  
30 vary. Bumps with smaller aspect ratios (width to height) more effectively push the hair aside and penetrate the light-attenuating materials than do bumps with larger aspect ratios.

          As shown in Fig. 2(a), the size and placement  
35 of light-transmissive bumps 100 in cluster 220 allow

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for spaces between bumps 100 to receive the displaced substances.

An alternative embodiment involves the use of spherical bumps that are undercut below opaque cover  
5 130. Most of the sphere would protrude above the surface of the probe. This arrangement would better trap the substances beneath the "shelf" formed by each sphere's equator.

The light-transmissive bumps can be  
10 constructed in various ways. In the preferred embodiment, the bump cluster is cast in transparent UV-curable epoxy (Hysol UV6000, for example) in an aluminum or Teflon mold. The same epoxy is then used to bond the cluster to the source and detector. Other  
15 methods, including injection molding and transfer molding directly onto the opto-electronic substrate, are possible as well.

Fig. 3(a) shows a perinatal pulse oximetry probe 200 that includes light source 110, detector 120,  
20 and cord 140. Light-transmissive bumps 100 are bordered by opaque bumps 190. The opaque bumps may be of one piece with opaque surface 130, as shown, or separate pieces attached to surface 130. The opaque bumps may be shaped and sized differently from the  
25 light-transmissive bumps. Fig. 3(b), a top view, shows light-transmissive bumps 100 encircled by opaque bumps 190.

Alternatively, opaque bumps 190 can be placed between light-transmissive bumps 100 without enclosing  
30 them. In one embodiment, the two facing rows of opaque bumps are staggered such that lines 250 drawn through their centers are diagonal to the edges 260 of the source and detector.

The opaque bumps reduce the amount of light  
35 shunting between the source and detector, that is,



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diffusing sideways and bypassing the blood-perfused fetal tissue. Shunting distorts the calibration of the pulse oximetry measurements.

Another alternative embodiment would use  
5 optical fibers, which pass light through a defined core. Many short fibers could be arranged vertically on the surface of the probe, with small spaces between them. The spaces would receive the substances pushed  
10 aside by the tips of the fibers, the equivalent of the bumps in the preferred embodiment. These "light combs" would have the advantage of being both transmitter and barrier: they would pass the light signal in a confined path and thus reduce shunting. In one variation of  
15 this embodiment the optical fibers would extend outside the patient's body to an external light source and detector, as in the prior application.

Fig. 4 shows that alternative embodiment, with cluster 200 comprised of optical fibers 330. These fibers consist of an optical core 300 and  
20 cladding 310. The fibers are surrounded by an opaque matrix 320 which further reduces shunting.

The probe can be attached to the fetal tissue by any practical means including suction, compression, adhesives, or clips.

25 The invention, an improved perinatal pulse oximetry probe as illustrated above, is defined by the claims that follow.

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Claims

We claim:

1. A transreflectance-type pulse oximetry probe comprising  
a light source and a light detector mounted within the probe;  
means for transmitting electrical signals to and from the probe; and  
a cluster of light-transmissive bumps covering one or the other of the light source and light detector.
2. The probe of claim 1 wherein the cluster of light-transmissive bumps covers the light source.
3. The probe of claim 1 wherein the cluster of light-transmissive bumps covers the light detector.
4. The probe of claim 1 wherein the cluster of light-transmissive bumps covers the light source, and further comprising a second cluster of light-transmissive bumps covering the light detector.
5. The probe of claim 4 wherein the surface of the probe has one or more opaque bumps between the clusters.
6. The probe of claim 1 wherein the light-transmissive bumps have rounded surfaces.
7. The probe of claim 1 wherein the cluster of light-transmissive bumps is surrounded by a plurality of opaque bumps.

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8. The probe of claim 1 wherein the light-transmissive bumps are sufficiently high to penetrate light-attenuating materials.

9. The probe of claim 1 wherein the light-transmissive bumps have an aspect ratio sufficient to penetrate light-attenuating materials.

10. The probe of claim 1 wherein the cluster of light-transmissive bumps comprises optical fibers.

11. The probe of claim 10 wherein the optical fibers are surrounded by opaque material.

12. A method for displacing hair and other light-attenuating materials from the paths of optical signals in a transreflectance-type pulse oximetry probe, the probe comprising a light source and a light detector mounted within the probe and further comprising means for transmitting electrical signals to and from the probe, the method comprising the steps of (a) providing a cluster of light-transmissive bumps covering one or the other of the light source and the light detector; and (b) positioning the probe by sliding it back and forth over the tissue such that the hair and other light-attenuating materials are displaced between the bumps.

13. The method of claim 12 wherein the cluster of light-transmissive bumps covers the light source, and wherein the method further comprises the steps of providing a second cluster of light-transmissive bumps covering the light detector, whereby the probe is positioned by sliding it back and forth over the tissue such that the hair and other light-

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attenuating materials are displaced between the bumps  
of the second cluster.

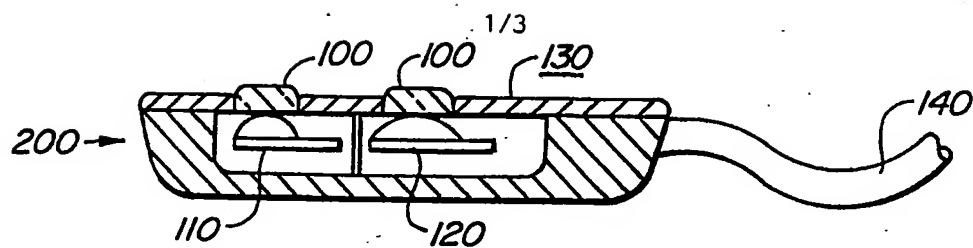


FIG. 1a.

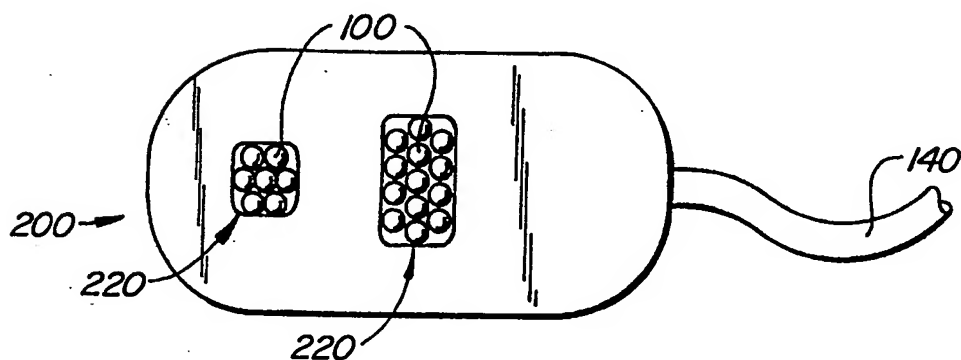


FIG. 1b.

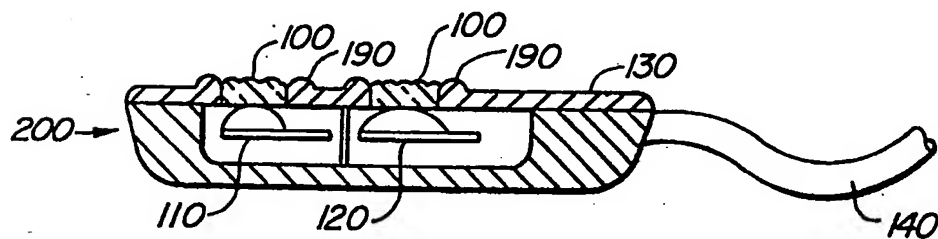


FIG. 3a.

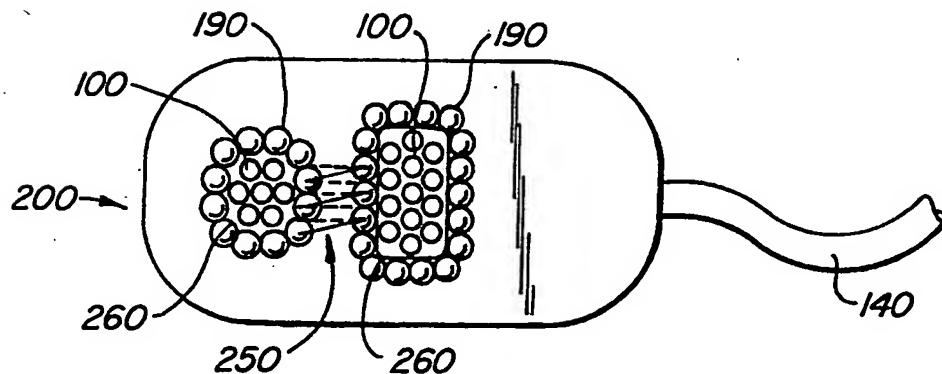


FIG. 3b.

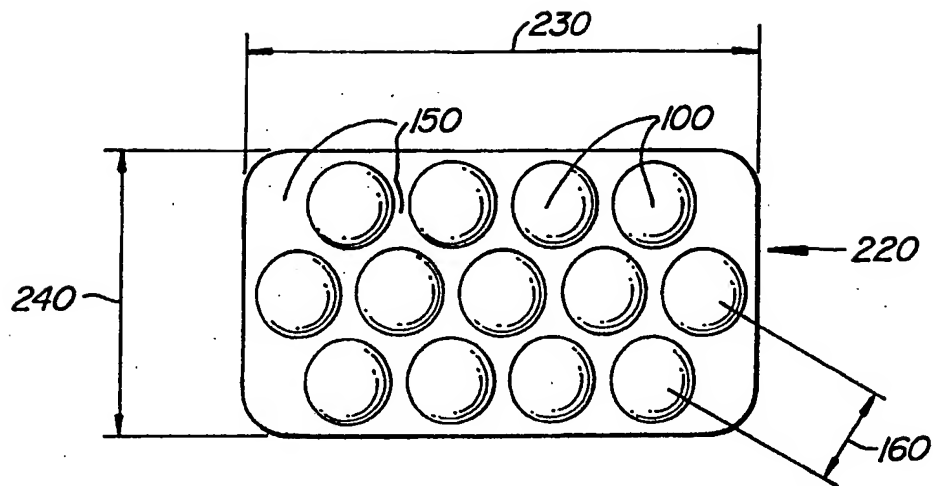


FIG. 2a.

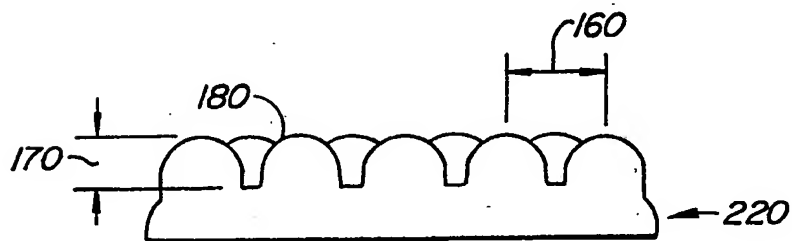


FIG. 2b.

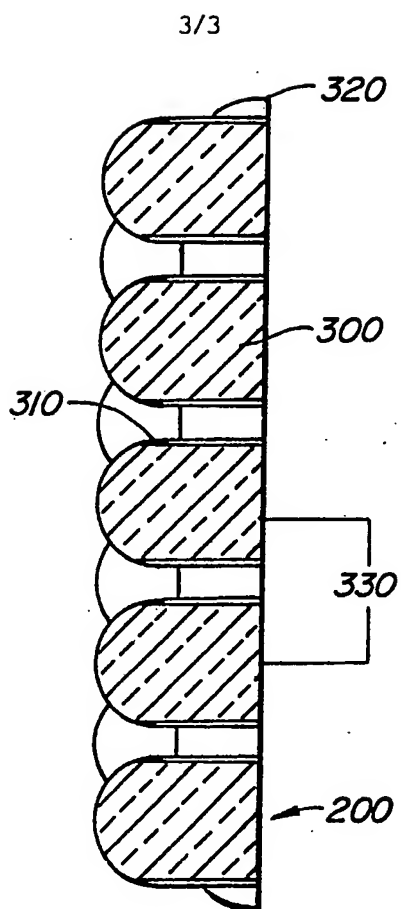
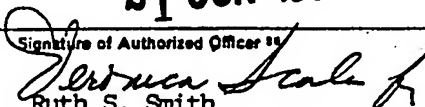


FIG. 4.

# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/02330

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>1</sup> According to International Patent Classification (IPC) or to both National Classification and IPC IPC(5) A61B 5/00 U.S. Cl. 128/633		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>1</sup>		
Classification System	Classification Symbols	
U.S.	128/633-634, 643, 664-666 356/40, 41	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>1</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT</b> <sup>1,2</sup>		
Category <sup>3</sup>	Citation of Document, <sup>1,2</sup> with indication, where appropriate, of the relevant passages <sup>1,2</sup>	Relevant to Claim No. <sup>1,2</sup>
X	US, A, 4,880,304, 14 November 1989 (JAEH et al) See column 5, lines 4-27	1, 2, 6, 8, 9
A	WO, A, WO90/01293, 22 February 1990, (GARDOSI) See entire document	1-11
A	US, A, 3,505,993, 14 April 1970, (LEWES et al) See column 1, lines 15-72 column 2 lines 1-69	1
A	US, A, 4,537,197, 27 August 1985, (HULKA) See entire document	1-11
A	EP, A, 0,135,840, 03 April 1985, (CORENMAN et al) See entire document	1-11
<div style="display: flex; justify-content: space-between;"> <div style="width: 48%;"> <p><sup>1</sup> Special categories of cited documents: <sup>1,3</sup></p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 48%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"d" document member of the same patent family</p> </div> </div>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search <sup>1</sup>		Date of Mailing of this International Search Report <sup>1</sup>
09 May 1991		21 JUN 1991
International Searching Authority <sup>1</sup>		Signature of Authorized Officer <sup>1,2</sup>
ISA/US		 Ruth S. Smith